

### SERVICE AREAS

#### Business

- Insurance

#### Litigation + Trial

- Appeals
- Class + Collective Actions
- Securities Litigation
- Litigation + Trial Practice Overview

#### Governments + Nonprofits

- Insurance

### EDUCATION

- Wayne State University Law School, J.D.
- University of Wisconsin-Madison, M.A., B.A.

### BAR ADMISSIONS

- Michigan

### COURT ADMISSIONS

#### U.S. Court of Appeals

- Sixth Circuit

#### U.S. District Courts

- Eastern District of Michigan
- Western District of Michigan



### Principal

#### Detroit

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Thomas R. Cox has extensive experience in litigating and counseling securities and insurance industry clients with respect to market conduct and sales practice issues. He has defended broker/dealers, insurers, underwriters, and individual brokers and agents in complex class-action securities cases, including multidistrict litigation, as well as arbitration proceedings and regulatory inquiries. Before becoming an attorney, Tom was a political analyst for the Central Intelligence Agency, Office of European Analysis, where he wrote intelligence reports for the president of the United States and other high-ranking government officials, and provided oral briefings to ambassadors and government officials on Eastern European countries.

Throughout his career he has handled insurance coverage disputes, often arising out of litigation matters when insurers declined coverage. *"My philosophy is to create windows of opportunity for the reasonable resolution of disputes, whether it be through settlement, mediation or trial and hearing of the case."*

Thomas R. Cox

### EXPERIENCE

Tom has litigated cases under the Securities Act of 1933, the Securities Exchange Act of 1934, the Employee Retirement Income Security Act (ERISA), the Racketeering Influenced and Corrupt Organization Act (RICO), the Private Securities Litigation Reform Act (PSLRA), the Securities Litigation Uniform Standards Act (SLUSA), the Michigan Uniform Securities Act, and under common-law theories of fraud and misrepresentation, breach of fiduciary duty, and breach of contract.

In addition, Tom has litigated and counseled clients extensively in the field of antitrust law under the Sherman, Clayton and Robinson-Patman acts, the Michigan Antitrust Reform Act, as well as

advising clients on compliance with these laws.

## PROFESSIONAL ACTIVITIES

- American Bar Association, Litigation and Science and Technology Sections
- State Bar of Michigan, Litigation and Business Law Sections

## HONORS + AWARDS

- University of Oslo, Norway, Language Training

## TEACHING EXPERIENCE

- Thomas M. Cooley Law School, Adjunct Professor for Securities Regulation, Summer 2008

## PUBLICATIONS

"A Review of Recent Investor Issues in the Madoff, Stanford and Forte Ponzi Scheme Cases" (Co authors Clarence L. Pozza Jr. and Robert J. Morad), Michigan State Journal of Business & Securities Law, Volume 10, Spring, Issue 2), Michigan State Journal of Business & Securities Law, Volume 10, Spring, Issue 2

Articles on Insurance Law in "Annual Survey of Michigan Law", Wayne Law Review, Summer 1998-2000

## SPEECHES

"Doing Business in the Middle East," International District Export Council, October 2010

"Doing Business in the Arabian Gulf," Eastern Michigan District Export Council, May 2009

"Legal Aspects of Doing Business in the Gulf," International Trade Workshop, American Arab Chamber of Commerce, May 22, 2008

Numerous "Before the Bell" Breakfast Forum updates sponsored by Miller Canfield

Presentation of Self-critical Analysis Privilege at 1998 IBC Annual Life and Annuities Market Conduct Conference in New York

## SEMINARS + EVENTS

- Before the Bell Breakfast Forum: The Changing Rules - Suitability, Know-Your-Customer and Social Media
  - Before the Bell Breakfast Forum: Indexed Annuities and Other Financial Products
  - Before the Bell Breakfast Forum: Social Media from a Regulatory Standpoint
  - Webinar: Construction Owners Toolbox: Financial Oversight of Foreign Construction Projects
  - Before the Bell Breakfast Forum: Back to the Future - Cases Back in Court
  - Before the Bell Breakfast Forum: Will All Financial Service Providers Soon Be Fiduciaries?
  - Practical Guide to the New Michigan Uniform Securities Act
  - Before the Bell Breakfast Forum: Not All Municipal Securities Are Created Equal PLUS An Update on Fiduciary Duties
  - Before the Bell Breakfast Forum: Whose \$ Is It Anyway?
  - Cappuccino with Counsel
  - International Trade Workshop: Legal Aspects of Doing Business in the Gulf
  - Before the Bell Breakfast Forum: The Changing Face of Regulation in the New Administration
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